



The Federal Planning Agency for America's Capital

Justification for Appropriation & Performance Plan

2016

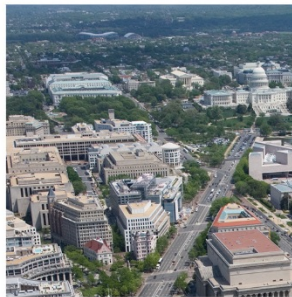
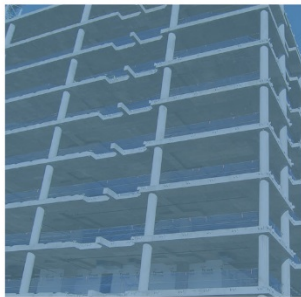
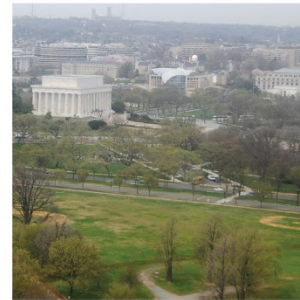


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Council of the District of Columbia

NATIONAL CAPITAL PLANNING COMMISSION

BUDGET REQUEST OVERVIEW

INTRODUCTION

The National Capital Planning Commission (NCPC) was established by the United States Congress in 1924 as a park-planning agency. Two years later NCPC's role was expanded to include comprehensive planning. In 1952, under the National Capital Planning Act, NCPC was designated the central planning agency for the federal government responsible for all property in the District of Columbia, and all federal property in the National Capital Region (NCR).

The National Capital Region encompasses an area approximately 2,500 square miles. It includes the District of Columbia; Montgomery and Prince George's Counties in Maryland; Arlington, Fairfax, Loudoun, and Prince William Counties in Virginia; and the incorporated cities of Alexandria, Falls Church, Fairfax, and Manassas that lie within that geographic area.

This document, including the proposed Annual Performance Plan for Fiscal Year (FY) 2016, the Performance Report for FY 2014, and all appendices constitutes NCPC's FY 2016 Budget Request. In this request, NCPC is emphasizing programs, initiatives and activities that align with the President's goals to deliver a Government that is more efficient, effective and supportive of economic growth.

NCPC, a small- independent agency that employs approximately 45 personnel and contract support staff, prides itself in being agile, creative, and collaborative. During FY 2014, NCPC demonstrated its value to constituents in a number of areas. At the request of the U.S. House of Representatives Committee on Oversight and Government Reform, NCPC in partnership with the District of Columbia Office of Planning (DCOP), completed a comprehensive study that explored the impact of strategic changes to the 1910 Height of Buildings Act. In November 2013, NCPC submitted its findings and recommendations to congress proposing minor amendments to the Height Act. As a positive reflection of NCPC's success, Congress proposed and passed legislation, to amend the 1910 Heights of Building Act to comport with the core recommendations in the NCPC Report. The Enrolled Bill was subsequently signed into law by the President of the United States.

In association with its project planning and review obligations, NCPC reviewed over 100 development proposals and plans in the National Capital Region. These proposals and plans involved major master plans, commemorative works and planning and revitalization projects. As a component of a larger effort to develop and maintain the Federal Elements of the *Comprehensive Plan for the National Capital*, NCPC updated and finalized the Visitors and Commemoration, and the Foreign Mission elements. In FY 2014, NCPC continued to advance the SW Ecodistrict Plan - a plan that identifies an urban development scenario, urban design and environmental strategies, and recommendations to achieve development and sustainability goals for a 110-acre federal enclave. NCPC led the work to prepare a business case and identify the mutual benefits of collaboration among GSA, NPS and the District government to move their respective plans forward.

In keeping with the GPRA Modernization Act and OMB Circular A-11, NCPC updated its strategic plan, which sets forth the goals that chart its course over the next four years and the

strategies to gauge success. Supporting the Administration’s efforts on sustainability, NCPC submitted its 5th Strategic Sustainability Performance Plan (“Sustainability Plan”) to OMB and CEQ to comply with Executive Order 13514 Federal Leadership in Environmental, Energy, and Economic Performance.

Looking forward, NCPC will continue its focus on three main areas: 1) Form and Character of the Capital City, 2) Infrastructure, and 3) Federal Workplace and Mobility.

Budget Request

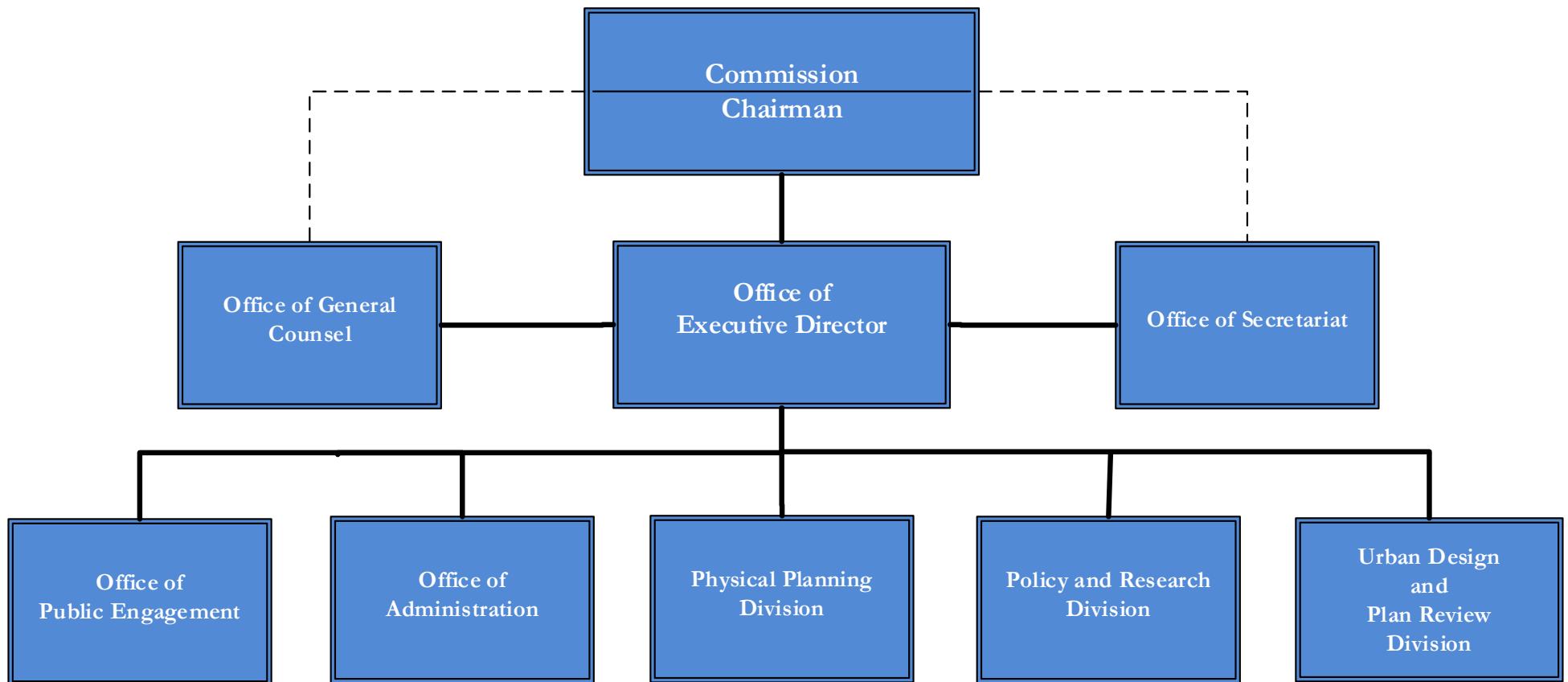
For FY 2016, NCPC is requesting \$8,348,000. This request is the result of a comprehensive assessment of the resources, priorities, and strategies required to achieve NCPC’s core mission; and takes into consideration the guidance outlined in OMB Memorandum M-14-07 dated May 5, 2014. Below is a snapshot of the NCPC’s FY 16 Budget Request relative to the FY 2014 and FY 2015 Appropriation. For more information regarding NCPC’s FY 2016 request, please refer to the budget analysis tables found on pages 37 to 43. All changes noted in the budget tables are based on a comparison of FY 2015 to FY 2016.

Account	FY 2014 Appropriation	FY 2015 Appropriation	FY 2016 Request
Salaries & Expenses	\$8,084,000	\$7,948,000	\$8,348,000
Rescission			
Base Total	\$8,084,000	\$7,948,000	\$8,348,000

National Capital Planning Commission

Organizational Chart

March 2014



Mission Statement

The National Capital Planning Commission preserves and enhances the extraordinary historical, cultural, and natural resources and federal assets of the National Capital Region to support the needs of the federal government and enrich the lives of the region's residents, workers, and visitors.

NCPC, through planning, policymaking, and plan review, defines and protects both federal interests and broader national interests in the development of the National Capital Region. The federal interest is wide-ranging and multifaceted—from ensuring that government agencies have adequate facilities and their employees have quality workplaces, to protecting the environment, public parks, and the region's historic resources. NCPC encourages efficient transportation and smart growth, accommodates the needs of foreign missions, and balances security elements with urban planning principles. NCPC also incorporates broader national interests into our planning guidance. This includes safeguarding the visual preeminence of Washington's iconic civic and symbolic structures, such as its national monuments, which have contributed to the identity of our nation's capital for over 200 years. It also includes enhancing the visitors' experiences within and around Washington's federal buildings, commemorative works, and national parks.

Strategic Goals

To carry out the mission and ensure that the nation's capital evolves, the Commission established three strategic goals and one management goal in the Strategic Plan for 2015 through 2018. The Annual Performance Plan has been organized in a similar order and aligns resources, strategic objectives and performance indicators with each goal.

- **Goal 1:** Foster a vibrant, well-planned national capital.
- **Goal 2:** Ensure that individual projects and plans within the National Capital Region are consistent with federal interests.
- **Goal 3:** Advance the orderly federal capital improvement of the National Capital Region.
- **Goal 4:** Ensure effective management and accountability of agency resources.

NATIONAL CAPITAL PLANNING COMMISSION
ANNUAL PERFORMANCE PLAN FY 2016

GOAL 1

1	Foster a vibrant, well-planned National Capital	FY 2014 Actual		FY 2015 Justification		FY 2016 Estimate		Total Change	
		FTE	\$000	FTE	\$000	FTE	\$000	FTE	\$000
	1A Create, maintain, and advance policies and plans that respond to emerging planning issues.	17	3,493	17	3,311	17	3,673	-	362

Overview

As the central planning agency for the federal government in the National Capital Region, the NCPC is charged with planning for the appropriate and orderly development of the national capital and the conservation of its important natural and historical features. Title 40 USC §8721 requires that NCPC prepare and adopt a “comprehensive, consistent, and coordinated plan for the National Capital.” NCPC’s *Comprehensive Plan for the National Capital: Federal Elements* provides a blueprint for the long-term development of the national capital, which guides Commission action on the plans and proposals submitted for its review.

NCPC prepares and adopts Federal Elements of the Comprehensive Plan, which address critical issues such as transportation, the environment, locating federal facilities, historic preservation, and tourism, among others. The Federal Elements guide NCPC’s specialized long-range plans such as the Framework Plan and the CapitalSpace Initiative, which further the agency’s Comprehensive Plan policies. In addition to the Federal Elements, NCPC also reviews and comments on the District Elements of the Comprehensive Plan.

NCPC establishes effective planning partnerships with other federal agencies, the District and the Metropolitan Washington Council of Governments, to advance critical recommendations outlined in the Commission’s plans, such as the *Legacy Plan*, *Monumental Core Framework Plan*, *CapitalSpace Plan* and *National Capital Urban Design and Security Plan*. These relationships include supporting the Federal Highway Administration’s efforts to study ways to improve mobility in the vicinity of the White House, partnering with federal agencies to improve future commemorative sites adjacent or near the National Mall, and collaborating with the Metropolitan Council of Governments to develop regional solutions to issues such as the environment, affordable housing, security and transportation.

Strategic Objective

Strategic Objective 1.A: NCPC will create, maintain, and advance policies and plans that respond to emerging planning issues and engage the public throughout the planning process.

NCPC develops its planning vision for the National Capital Region through the *Comprehensive Plan for the National Capital: Federal Elements*. NCPC will conduct research on a wide variety of emergent planning issues and undertake planning initiatives to advance development of long-range plans. The reports and policies that result from the research and specific planning initiatives

will help define and clarify the federal government's interest in the NCR. Also, they will form the basis for future planning initiatives, and the update of existing plans and policies.

Goal Leaders: Planning Directors

Performance Goals and Indicators

Performance Goal 1.A.1: *Advance the SW Ecodistrict Plan through Target Cities Partnerships.*

- Performance Indicators: A proposal for ongoing governance model and an integrated district assessment platform.
- Targets: A scope of work and a project governance model.
- Time Period: End of Fiscal Year 2016.

Performance Goal 1.A.2: *Advance the Pennsylvania Avenue initiative to address near-term operational, maintenance, governance and programmatic needs.*

- Performance Indicators: Conduct a needs assessment of the avenue, including site and infrastructure survey, conditions assessment and strategies to improve and maintain elements.
- Target: Needs Assessment Report.
- Time Period: End of Fiscal Year 2016.

Performance Goal 1.A.3: *Update the National Mall Roads Streetscape Manual.*

- Performance Indicator: Updated National Mall Roads Streetscape Manual.
- Target: Updated Manual available on the NCPC website.
- Time Period: End of Fiscal Year 2016.

Performance Goal 1.A.4: *Develop the joint NPS/NCPC Open Space Management Plan and update the Memorials and Museums Master Plan.*

- Performance Indicators: Evaluate inventory of parcels under NPS ownership, determine the purpose and significance of each site, identify the fundamental cultural and natural resources, define appropriate uses for each site, develop a menu of management mechanisms and partnership opportunities, and define next steps toward implementation of the plan.
- Target: Completed Open Space Management Plan and updated Memorials and Museums Master Plan.
- Time Period: End of Fiscal Year 2016.

Performance Goal 1.A.5: *Improve the depth of NCPC's policy making and its applicability.*

- Performance Indicator: Conduct analysis to improve key policy areas to assess their effectiveness within the Comprehensive Plan.
- Target: Amended Comprehensive Plan Policies.
- Time Period: By the end of Fiscal Year 2016.

Performance Goal 1.A.6: *Complete the Fiscal Year 2015 Annual Report.*

- Performance Indicator: Fiscal Year 2015 Annual Report.
- Target: Published document on the NCPC website.
- Time Period: By the end of the First Quarter of Fiscal Year 2016.

Performance Goal 1.A.7: *Publish a monthly newsletter to inform and educate the public.*

- Performance Indicator: Monthly E-Newsletter.
- Target: Published E-Newsletter.
- Time Period: By the end of each month a Commission Meeting is held.

Performance Goal 1.A.8: *Inform the public of the results of Commission Meetings.*

- Performance Indicator: Commission Action published on the NCPC website.
- Target: Three business days after Commission Meeting.
- Time Period: By the 15th of each month a Commission Meeting is held.

Performance Goal 1.A.9: *Respond to a variety of informational requests from Congress, federal, state and local agencies, the general public and a variety of news organizations.*

- Performance Indicator: Inquiry responses.
- Target: 10 business days after the date of receipt.
- Time Period: Respond to each request within 15 business days.

GOAL 2

	Ensure that individual projects and plans within the NCR are consistent with federal interests.	FY 2014 Actual		FY 2015 Justification		FY 2016 Estimate		Total Change	
		FTE	\$000	FTE	\$000	FTE	\$000	FTE	\$000
2	2A Review Federal Projects and Plans	8	1,356	7	1,287	7	1,361	-	74
	2B Review State, District and Local Plans	5	827	4	786	5	822	1	36
	Sub Total	13	2,183	11	2,073	12	2,183	1	110

Overview

On a monthly cycle, in preparation for the Commission's public meeting, staff reviews plans and projects for compliance with policies in the Comprehensive Plan, *The National Capital Urban Design and Security Plan*, the *Memorials and Museums Master Plan*, and the Commemorative Works Act. The Commission's review process is designed to provide for the orderly development of the national capital and to ensure that government facilities are well-planned and well-designed. Through early consultation with NCPC, agencies receive design and planning feedback on projects to ensure that federal buildings meet the highest standards and to maximize federal land and infrastructure resources.

NCPC staff reviews master plans and project plans for federal and District of Columbia installations and public buildings; site and design proposals for commemorative works in the District of Columbia and its environs; and proposed amendments to the District of Columbia zoning map, zoning regulations, and highway plan amendments, including street and alley closings, for their impact on the federal interests.

In addition, the staff advises the Commission on the impact of federal and District of Columbia development proposals on historic properties and the environment, while ensuring compliance with the National Historic Preservation Act (NHPA) and National Environmental Policy Act (NEPA). NCPC staff also approves appropriate building permit applications for projects in the Pennsylvania Avenue Development Area.

Strategic Objectives

Strategic Objective 2.A: *NCPC will review federal projects and plans in a public forum for consistency with the Comprehensive Plan, federal sector plans, urban planning and design principles, and other federal interests.*

Through its regulatory responsibilities, NCPC will conduct reviews of proposed development plans and projects of federal agencies in the NCR for their impact on the federal establishment. This is primarily done through agency consultation and the monthly plan and project review process, and through representation on local and regional planning bodies.

Goal Leaders: Planning Directors

Strategic Objective 2.B: *NCPC will review state, district, and local plans for consistency with the Comprehensive Plan, federal sector plans, urban planning and design principles, and other federal interests.*

NCPC provides comments on planning matters related to transportation, urban design, parks and open space, and environmental stewardship. NCPC is charged with representing the federal interest in various local and regional authorities such as the Board of Zoning Adjustment, the Foreign Missions Board of Zoning Adjustment, and the Transportation Planning Board of the Metropolitan Washington Council of Governments.

Goal Leaders: Planning Directors

Performance Goals and Indicators

Performance Goal 2.A.1: *Review and analyze the planning, urban design and architectural components of federal plans and projects and make recommendations to the Commission for action in accordance with published schedules, guidelines, and policies.*

- Performance Indicator: Review the planning, urban design and architectural components of Federal plans and projects and present to the Commission in a timely manner.
- Target: Thirty five days from the date of submission.
- Time Period: Monthly Commission Meeting.

Performance Goal 2.B.1: *Review and analyze the planning, urban design and architectural components of District of Columbia, state and local plans and projects and make recommendations to the Commission for action in accordance with published schedules, guidelines, and policies.*

- Performance Indicator: Review the planning, urban design and architectural components of Federal, District of Columbia, state and local plans and projects and present to the Commission in a timely manner.
- Target: Thirty five days from the date of submission.
- Time Period: Monthly Commission Meeting.

Performance Goal 2.B.2: *Review and analyze proposed actions of the Zoning Commission and proposed street and alley closing and make recommendations to the Commission for action in accordance with published schedules, guidelines, and policies.*

- Performance Indicator: Review Zoning Commission actions and proposed street and alley closings in a timely manner.
- Target: Thirty days from the date of action and/or submission.
- Time Period: Monthly Commission Meeting.

GOAL 3

3	Advance the Orderly Federal Capital Improvement of the NCR	FY 2014 Actual		FY 2015 Justification		FY 2016 Estimate		Total Change	
		FTE	\$000	FTE	\$000	FTE	\$000	FTE	\$000
	3A Analyze and recommend proposed capital improvement projects in consultation with stakeholders	3	311	2	286	1	247	(1)	(39)

Overview

Each year, as required by 40 USC §8723, NCPC prepares a six-year Federal Capital Improvements Program (FCIP) for the NCR. The long-term goal of the FCIP is to promote federal investments that are consistent with overall planning policies for the region. Federal agencies submit proposed capital expenditures including construction and rehabilitation of office buildings; improvements to roads and bridges; enhancements to recreation, military, and cultural facilities; and building and land purchases. NCPC evaluates these proposed improvements for conformity with the Comprehensive Plan and other adopted plans and policies to ensure that they meet federal planning objectives.

The program contains federal public works projects which, based on the policies, are recommended by the Commission for implementation in the succeeding six years. NCPC's FCIP review also helps guide the agency's planning activities in the region.

Strategic Objective

Strategic Objective 3.A: *Analyze and recommend proposed capital improvement projects in consultation with stakeholders in the National Capital Region.*

NCPC will analyze the FCIP for consistency with the Comprehensive Plan and federal and local planning policies. NCPC provides the FCIP to the Office of Management and Budget to assist in the preparation of the President's annual budget.

Goal Leaders: Planning Directors

Performance Goals and Indicators

Performance Goal 3.A.1: *Review and analyze proposed capital improvement projects submitted by federal agencies for Fiscal Year 2017 to Fiscal Year 2022.*

- Performance Indicator: Fiscal Year 2017 to Fiscal Year 2022 FCIP report.
- Target: Completed report submitted to the Office of Management and Budget.
- Time Period: By September 30, 2016.

Performance Goal 3.A.2: *Review and analyze capital improvement programs proposed by non-federal jurisdictions in the National Capital Region.*

- Performance Indicator: Review of non-Federal capital programs in the NCR.
- Target: Completed report.
- Time Period: Within 35 days of receipt of document.

GOAL 4

	Ensure effective management and accountability of agency resources	FY 2014 Actual		FY 2015 Justification		FY 2016 Estimate		Total Change	
		FTE	\$000	FTE	\$000	FTE	\$000	FTE	\$000
4	4A Recruit, retain, and develop a highly skilled, motivated and diverse workforce	5	740	4	704	4	647	-	(57)
	4B Ensure sound financial performance	1	547	1	547	1	509	-	(38)
	4C Establish a cohesive IT architecture	2	746	2	965	2	1,050	-	85
	4D Strengthen performance evaluation program	-	64	-	60	-	39	-	(21)
	Sub Total	8	2,097	7	2,276	7	2,245	-	(31)

Overview

To ensure that the Agency continues to meet the growing challenges of its mission, NCPC will place special emphasis on the continuous improvement of its processes and how it carries out its business for the American public, internally as well as externally. NCPC will engage in an ongoing process of assessment and learning and will strive to communicate effectively. The Agency will be goal driven, with its attention firmly fixed on performance, strong and effective human capital management, financial and acquisition management, facilities management and information technology management.

Strategic Objectives

Strategic Objective 4.A: *Recruit and retain a capable, motivated, and diverse workforce that advances NCPC's mission and embraces its core values.*

Develop a comprehensive workforce plan and management model to identify NCPC's workforce needs now and in the future; and recruit, train, and retain a diverse staff to meet that plan.

Goal Leader: Director, Office of Administration

Strategic Objective 4.B: *Ensure sound financial performance.*

Ensure that NCPC's financial management systems and operations can produce, in a timely manner, accurate and relevant financial information to support sound decision making and comply with external reporting requirements directed by OMB and the U.S. Department of Treasury.

Goal Leader: Director, Office of Administration

Strategic Objective 4.C: *Ensure reliable, secure, and efficient IT Services.*

Modernize the agency architecture with reliable and secure technology solutions that will improve efficiency to support the agency mission and business functions. Evaluate and test new information concepts and technologies to be applied and integrated into the NCPC architecture. Ensure

compliance with regulations, review threats and vulnerabilities to assess risks, and determine effective measures to minimize risks and identify resources to be protected.

Goal Leader: Director, Office of Administration

Strategic Objective 4D: *Strengthen the agency's performance evaluation program.*

Ensure that NCPC can continue to achieve its mission and provide the services to the public through an effective program evaluation.

Goal Leader: Performance Improvement Officer

Performance Goals and Indicators

Performance Goal 4.A.1: *Develop a hiring procedures manual for managers.*

- Performance Indicator: Hiring Procedures Manual.
- Target: Completed Manual.
- Time Period: By the end 1st Quarter Fiscal Year 2016.

Performance Goal 4.A.2: *Prepare the annual training plan that addresses critical skill gaps in NCPC's workforce and prioritizes training requirements to fulfill the agency mission.*

- Performance Indicator: Fiscal Year 2016 Training Plan.
- Target: Completed document.
- Time Period: 1st Quarter Fiscal Year 2016.

Performance Goal 4.B.1: *Develop the FY 2018 Budget Estimate and Annual Performance Plan.*

- Performance Indicator: FY 2018 Budget Estimate and Annual Performance Plan.
- Target: Completed budget document that complies with OMB guidance.
- Time Period: Date established by OMB.

Performance Goal 4.B.2: *Maintain accurate financial records, establish and maintain effective financial management internal controls, and prepare agency financial statements in accordance with U.S. Federal Government policies and regulations.*

- Performance Indicator: Fiscal Year 2016 Financial Statement Audit.
- Target: Unqualified Opinion.
- Time Period: End of the 1st Quarter of Fiscal Year 2017.

Performance Goal 4.B.3: *Develop an action plan to address all recommended actions and process improvements identified in the Fiscal Year 2015 financial audit opinion.*

- Performance Indicator: Auditor-Recommended Financial Management Improvements Action Plan.
- Target: Completed Document.

- Time Period: End of the 2nd Quarter of Fiscal Year 2016.

Performance Goal 4.C.1: *Migrate from custom networks and applications to more utility-based computing solutions that reduce costs and increase mobility. Assess feasibility of cloud computing solutions for office automation applications, such as email and word processing.*

- Performance Indicator: FedRAMP-certified cloud solution for office automation.
- Target: Satisfies the objectives in the Federal Digital Government Strategy.
- Time Period: End of Fiscal Year 2016.

Performance Goal 4.C.2: *Leverage federal shared services to acquire and implement a Personal Identity Verification (PIV) card solution that will integrate with NCPC's physical and logical access systems.*

- Performance Indicator: PIV Card System for physical and logical access.
- Target: HSPD-12 Compliant.
- Time Period: End of Fiscal Year 2016.

Performance Goal 4.C.3: *Assuming sufficient resources and automated tools, transition to near real-time risk management by monitoring all implemented security controls with the appropriate degree of rigor and at the appropriate frequencies specified by NCPC in accordance with the Information Security Continuous Monitoring Strategy and National Institutes of Standards and Technology guidance.*

- Performance Indicator: Information Security Continuous Monitoring Strategy, ongoing security authorization package.
- Target: FISMA Compliant.
- Time Period: End of Fiscal Year 2016.

Performance Goal 4.D.1: *Assuming sufficient resources, revise the agency process for performance measurement, verification and validation.*

- Performance Indicator: Revised Performance measurement, verification and validation.
- Target: Revised Program/Performance Measurement System.
- Time Period: End of Fiscal Year 2016.

NEXT STEPS FOR STRATEGIC OBJECTIVES

Overview

As the federal government's central planning agency for the National Capital Region, NCPC has been entrusted to provide overall planning guidance for federal land and buildings in the region; review design of federal construction projects, oversee long-range planning for future development, and monitor capital investments by federal agencies. NCPC will measure its progress in meeting its strategic objectives through the information collected during quarterly reviews and annual strategic assessments of programs.

NCPC works with a variety of partners, including federal, state, and local agencies that have a direct stake in many of its planning efforts. Achievement of the performance targets in this plan is contingent in part upon the contributions of other agencies. NCPC will continue to foster strong working relationships among stakeholders and partners as a means of furthering its objectives. NCPC acknowledges the possibility that unforeseen events may affect the priority of performance targets, particularly those that address emergent issues. NCPC also recognizes that there are significant fiscal constraints that all agencies must consider.

Major Management Challenges

NCPC continuously searches for innovative and efficient means to accomplish its goals and objectives as outlined in its strategic plan. One of NCPC's major management challenges relates to moving planning ideas from paper to implementation. Since NCPC's organic legislation is focused on planning and not implementation, it must rely on other federal entities to carry the plans forward. In this context, NCPC's initial scoping and planning efforts require delicate coordination with and buy-in from numerous stakeholders. This aspect of the planning agenda is extremely difficult given the economic forecast for the next five to ten years and the delicate relationship between the federal and local governments.

To overcome this challenge, NCPC must continue to develop a professional network with other organizations and identify early-on the internal and external factors that affect the work of the organization. NCPC must coordinate with these parties to maximize input from the widest range of appropriate stakeholders to facilitate an open exchange of opinions from diverse groups and strengthen internal and external support.

ANNUAL PERFORMANCE REPORT
FY 2014 PERFORMANCE BY STRATEGIC GOALS

The Commission's Fiscal Year 2014 Performance Report is based on the goals, strategies, and performance objectives set forth in the Strategic Plan for the FY 2009-2014 and the FY 2014 Annual Performance Plan. The agency continued its partnerships with federal and local agencies on major initiatives to support its long-range plans, continued integrating urban design and security objectives into projects in the monumental core and throughout the District, and completed the Federal Capital Improvements Program.

NCPC has established in-house expertise in planning and urban design. This expertise has allowed NCPC to effectively accomplish its mission to preserve and enhance the extraordinary historical, cultural and natural resources and federal assets of the National Capital Region to support the needs of the federal government and enrich the lives of the region's visitors, workers and residents.

Strategic Goal #1:

By crafting plans and policies that employ the highest standards of urban design and planning, the National Capital Planning Commission will define the federal government's interest in the long-term development of the National Capital Region.

Performance Goal FY14.1.A.1: *Update the Visitor and Commemoration Element of the Comprehensive Plan for the National Capital: Federal Elements.*

- Performance Indicator: Visitor and Commemoration Element of the Comprehensive Plan
- Target: Published on the website.
- Time Period: By the end of Fiscal Year 2014.

Result: The Visitor and Commemoration Element of the Comprehensive Plan was adopted by the Commission, June 2014 and published on the website.

Discussion: NCPC is required to prepare and adopt a "comprehensive, consistent, and coordinated plan for the National Capital," the *Comprehensive Plan for the National Capital: Federal Elements*. The Comprehensive Plan, addresses critical issues such as transportation, the environment, locating federal facilities, historic preservation, and tourism, among others. It guides NCPC's specialized long-range plans such as the Framework Plan and the CapitalSpace Initiative, which further the agency's Comprehensive Plan policies.

By updating the elements, NCPC ensures that the policies remain effective and support the most recent planning initiatives. These policies are also evaluated for their alignment with goals specifically related to Executive Order 13514 "Federal Leadership in Environmental, Energy, and Economic Performance", and Executive Order 13653 "Preparing the United States for the impacts of Climate Change. The updates are intended to support recent work in improving federal building

design and perimeter security, activating public spaces, and defining the role of federal buildings and land in supporting the character of the National Capital Region. Updates to the Comprehensive Plan Elements provide the federal government assurance that the Nation's Capital is being planned in a sustainable and orderly fashion based on the latest proven methods and best practices. The updated policies also promote the sustainable development of the region, preserve the capital's image and historic character, and improve the efficiency of the federal government.

The Visitor and Commemoration Element was updated to reflect the connection between visitation to the city and commemoration. The element incorporates data and policy recommendations developed in the 2012 report, *Memorial Trends and Practices in Washington, DC*; and updates data related to tourism activities in the National Capital Region. These new policies provide a greater focus on regional transportation strategies to help improve visitor access to attractions in the National Capital Region. The policies also support opportunities for the federal government to collaborate with public or non-profit entities in activities that enhance the visitor experience in the Nation's Capital.

Performance Goal FY14.1.A.2: *Update the Open Space Element of the Comprehensive Plan for the National Capital: Federal Element.*

- Performance Indicator: Open Space Element of the Comprehensive Plan.
- Target: Published on the website.
- Time Period: By the end of Fiscal Year 2014.

Result: Updates to this element were deferred.

Discussion: NCPC began work on this element during FY 2014, however the work was deferred to participate in a joint National Park Service/NCPC Open Space Management Plan. The completion date for the joint project is the end of FY 2016.

Performance Goal FY14.1.B.1: *(performance goal replaced) Develop an inventory of all parking in Federally-owned facilities.*

Performance Goal FY14.1.B.1: *(new goal) Plan improvements to and along Pennsylvania Avenue between the U.S. Capitol and the White House (3rd to 15th Streets, NW).*

- Performance Indicators: Determine improvements to Pennsylvania Avenue for near-term maintenance and operational needs; develop a long-term vision to guide and leverage capital investments and; collaborate on a framework to govern activities.
- Target: Initiate Partnership to plan for improvements to Pennsylvania Avenue, 3rd to 15th Streets, NW.
- Time Period: By the end of Fiscal Year 2014.

Result: Partnership with GSA, NPS formed.

Discussion: In 1996, Congress dissolved the Pennsylvania Avenue Development Corporation (PADC) – the governing entity in charge of the Avenue and the Pennsylvania Avenue Plan. Responsibilities were distributed among GSA, NPS, and NCPC. Today the lack of coordination and funding have resulted in a backlog of maintenance and piecemeal improvements impacting the federal interests in the following ways:

- Property values are lower compared to other areas of the city.
- Federal and private buildings along the Avenue are prone to serious flooding as the impacts of climate change increase in scale.
- The Federal Triangle is vulnerable to security threats due to temporary perimeter security still in place for over a decade.
- The symbolic and ceremonial nature of Pennsylvania Avenue as America’s main street is diminished.

NCPC led the early stages of the Initiative in coordination with its partners and accomplished the following:

- Convened the Steering Committee of 10 federal and local agencies to scope a near- and long-term interagency work plan.
- Assessed the conditions of the Avenue and the status of the PADC Plan to determine how to address improvements to the aging infrastructure and the federal workplace, how to enhance its role as a visitor designation, and strengthen its economic vitality.
- Conducted local and national public outreach via an interactive project website; held public meetings to obtain input on the scope of the issues to be addressed; and held a Speaker Series event on the role of the Avenue in the 21st century.
- Engaged in early coordination with GSA regarding redevelopment of the J. Edgar Hoover site (FBI).

Performance Goal FY14.1.B.2: (*performance goal replaced*) *Initiate a study to assess the impact of a changing Federal footprint in the National Capital Region.*

Performance Goal FY14.1.B.2: (*new goal*) *Update the Foreign Missions and International Organizations Element of the Comprehensive Plan for the National Capital: Federal Element.*

- Performance Indicator: Foreign Missions Element of the Comprehensive Plan.
- Target: Published on the website.
- Time Period: By the end of Fiscal Year 2014.

Result: Foreign Missions and International Organizations Element of the Comprehensive Plan adopted by the Commission, July 2014 and published on website.

Discussion: NCPC updated the Foreign Mission Element to reflect new planning initiatives, a new chancery development area for a foreign missions center and guidance on locating and siting chanceries. Additional changes include a revised goal statement to convey greater sensitivity to the character and land use patterns of the city’s neighborhoods, updated narratives with data

provided by the U.S. Department of State and reorganized policies into five themes: Chancery Development, Locating Chanceries, Chancery Facilities, Ambassadors' Residences, and International Organizations.

The Foreign Missions and International Organizations Element provides a planning policy framework that takes into account two important responsibilities. The first priority is for the United States to fulfill its diplomatic obligations, including identifying suitable locations for chanceries. The second priority is to ensure that chanceries are located in a way that is sensitive to the character and land use patterns of the city's neighborhoods.

Performance Goal FY14.1.B.3: *(goal replaced) Study the feasibility and benefits of constructing additional entrances to the Federal Triangle and Archives/Navy Memorial/Penn Quarter Metrorail stations to promote the recommendations of the Monumental Core Framework Plan.*

Performance Goal FY14.1.B.3: *(new goal) Study the feasibility of a Foggy Bottom-Northwest Rectangle Heritage Trail.*

- Performance Indicator: Assess the benefits of a Foggy Bottom-Northwest Rectangle Heritage Trail.
- Target: Completed Assessment.
- Time Period: By the end of Fiscal Year 2014.

Result: Foggy Bottom-Northwest Rectangle Heritage Trail Study completed.

Discussion: NCPC partnered with Cultural Tourism DC (CTDC) to assess the value of a Heritage Trail in the Foggy Bottom neighborhood and document the range of possible topics and potential routes that can showcase the significant national and local historical persons and events in the area. NCPC co-facilitated stakeholder outreach meetings, which included 7 federal agencies and 5 international organizations with significant presence in the area: the Department of State, Federal Reserve Board, the Department of the Interior, General Services Administration, National Academy of Sciences, the World Bank, U.S. Institute of Peace and George Washington University. Local community leaders and businesses were equally represented.

The assessment revealed new historical facts about national and local events, places and individuals that will increase the public's appreciation of the cultural resources offered by federal agencies. The federal and institutional stakeholders also recognized the opportunity, through the heritage trail markers, to increase the visibility and public awareness of their organization's mission and existing cultural programs. When built, the Heritage Trail will help draw visitors from the National Mall and Smithsonian museums to lesser-known attractions in the Northwest Rectangle and Foggy Bottom neighborhoods. As is the case with existing neighborhood Heritage Trails, it is a near-term strategy to enhance the Northwest Rectangle public realm and bring more revenue to Foggy Bottom businesses.

Performance Goal FY14.1.B.4: *Advance the Monumental Core Framework Plan's (MCFP) SW Ecodistrict Action Agenda through creation of implementation strategies for each of the Agenda's recommendations.*

- Performance Indicator: Implementation strategies for each of the MCFP's SW Ecodistrict major initiatives.
- Target: Completed Assessment.
- Time Period: By the end of Fiscal Year 2014.

Results: In lieu of an assessment, NCPC identified effective ways to leverage activities taken by GSA, NPS and the District of Columbia Government to advance the SW Ecodistrict Action Agenda.

Facilitated an urban leadership partnership among NCPC, GSA and the District to include the SW Ecodistrict Initiative in the National Ecodistrict Organization's Target Cities Program – a Commitment of the Clinton Global Initiative.

Developed concept designs for the 10th Street corridor and a pedestrian connection at Banneker Park (a reservation owned by the National Park Service) to strengthen the connection between the National Mall and the SW Waterfront.

Provided planning expertise and assistance to the General Services Administration in the pre-development work for the revitalization of 22 acres of federal land within the ecodistrict, including their recently issued request for qualifications for the exchange acquisition for the Cotton Annex and GSA Regional Office Building sites. Prepared a business case and identified the mutual benefits of collaboration among GSA, NPS and the District Government to move their respective components of the plan forward.

NCPC also consulted on the Maryland Avenue study which assessed the technical feasibility of capping the railroad within the unbuilt section of Maryland Avenue between 4th and 12th Streets, SW. Capping Maryland Avenue is a high priority recommendation in the SW Ecodistrict Plan as it would physically and visually connect the U.S. Capitol to the federal office buildings south of the Mall, improve transportation mobility and emergency evacuation, and increase the value of federal and private land in the area. During the study, NCPC provided technical information regarding the development of the SW Ecodistrict Plan especially with regard to future land use needs. The results of the study will be available to inform any future redevelopment projects of GSA.

Discussion: In support of Executive Order 13514 and as one of the cornerstone strategies of the Monumental Core Framework Plan, NCPC in partnership with the Commission of Fine Arts, the General Services Administration, the National Park Service, and the District Office of Planning, developed a revitalization plan for the SW Ecodistrict. The 110-acre area surrounds the 10th Street and Maryland Avenue corridors in Southwest, Washington, DC. The SW Ecodistrict Plan identifies an urban development scenario, urban design and environmental strategies, and recommendations to achieve development and sustainability goals for this federal office enclave.

The SW Ecodistrict's innovative approaches will move Washington, DC toward a more sustainable future. It is the first agency accepted ecodistrict in the U.S. It is beginning to influence national development policies and the built environment across the country and beyond. The Canadian government expressly used the SW Ecodistrict as a model to prepare Tunney's Pasture Master Plan, a 123-acre federal campus in Ottawa. It is also the first of four ecodistricts under development in the city, and is being used as a model for other municipalities, such as Bethesda, Maryland. Additionally, the development industry and allied professions are closely following the plan's development and implementation.

Performance Goal FY14.1.B.5: *Coordinate with federal and local partners to ensure that the D.C. Zoning and Building Codes are updated to advance the SW Ecodistrict recommendations.*

- Performance Indicator: D.C. Zoning and Building Codes.
- Target: Updated and Published.
- Time Period: By the end of Fiscal Year 2014.

Result: Provided comments to the District of Columbia Office of Planning on the Zoning Rewrite.

Discussion: NCPC reviewed the District of Columbia's proposed changes to the city's zoning regulations and evaluated the benefits and the impacts on national and federal interests, such as federal headquarters, the White House, the U.S. Capitol, cultural institutions, and the National Mall. One of many provisions in the zoning rewrite is a change in zoning to advance the SW Ecodistrict Plan. NCPC consulted and provided comments to the District Office of Planning and the Zoning Commission to advance the plan as well as address and resolve areas of concern before the regulations are finalized. NCPC testified during the Zoning Commission hearings in September 2014 and submitted comments for the record with the intent to resolve issues before the zoning rewrite is completed and referred to the National Capital Planning Commission for official action under the (Planning Act) 40 U.S.C. §8724(a).

Performance Goal FY14.1.B.6: *Partner with the District Department of Transportation to develop streetscape and public design guidelines for all streets and public spaces in the SW Ecodistrict.*

- Performance Indicator: Streetscape and public design guidelines for all streets and public space in SW Ecodistrict.
- Target: Completed and published design guidelines.
- Time Period: By the end of Fiscal Year 2014.

Result: Developed concept designs for the 10th Street corridor and a pedestrian connection at Banneker Park (a reservation owned by the National Park Service) to strengthen the connection between the National Mall and the SW Waterfront.

Discussion: The concepts integrate the plan's stormwater strategies within the overall design and include a phased implementation strategy.

Strategic Goal #2:

NCPC will review proposals subject to Commission approval or advice to determine whether they are consistent with the federal interest and we will represent the federal interest on local and regional planning bodies.

Performance Goals FY14.2.A.1: *Review and analyze the planning, urban design and architectural components of Federal plans and projects and make recommendations to the Commission for action in accordance with published schedules, guidelines, and policies;* **FY14.2.C.1:** *review and analyze District of Columbia plans and projects;* and **FY14.2.C.2:** *review and analyze proposed actions of the Zoning Commission and proposed street and alley closings.*

- Performance Indicator: Review the planning, urban design and architectural components of Federal plans and projects, District of Columbia public projects, proposed actions of the Zoning Commission and proposed street and alley closings.
- Target: Thirty-five days from the date of submission for Federal and District planning projects and 30 days for zoning referrals and street and alley closings.
- Time Period: Monthly Commission Meeting.

Results: NCPC approved and commented on 109 planning and urban design proposals. A few notable projects include:

- The final master plan for the Naval Support Activity Bethesda, Maryland, Joint Base Myer-Henderson Hall.
- Draft master plans for Joint Base Anacostia – Bolling, Washington Navy Yard, and the Naval Support Activity Naval Observatory.
- Preliminary plans for site improvements and perimeter security for the People's Garden at the Whitten Federal Building.
- Concept design for the reconstruction of the South Capitol Street corridor.
- Concept design for the DC Streetcar barn and training center.
- Final plans for the redevelopment of the Old Post Office.
- Preliminary plans for the building modernization and expansion of the Consumer Financial Protection Bureau Headquarters.
- Concept plans for the building expansion of the John F. Kennedy Center for the Performing Arts.
- Preliminary plans for the visitor screening facility at the Washington Monument.
- Preliminary plans for perimeter security and site improvements at the American Pharmacists Association Building.
- Final plans for the Chuck Brown Memorial Park.

- A number of Planned Unit Developments that were consistent with the Comprehensive Plan.

Discussion: On a monthly cycle, NCPC reviews master plans and project plans for federal and District of Columbia installations and public buildings; site and design proposals for commemorative works in the District of Columbia and its environs; and proposed amendments to the District of Columbia zoning map, zoning regulations, and highway plan amendments, including street and alley closings, for their impact on the federal interests.

The Commission considers the impact of Federal and District of Columbia development proposals on historic properties and the environment, while ensuring compliance with the National Historic Preservation Act (NHPA) and National Environmental Policy Act (NEPA).

The Commission's review process is designed to contribute to the orderly development of the national capital and to ensure that government facilities are well-planned and well-designed.

Performance Goal FY14.2.A.2: *Review and update the project and applicant submission guidelines.*

- Performance Indicator: Project applicant submission guidelines.
- Target: Updated and published.
- Time Period: By the end of Fiscal Year 2014.

Result: This goal was not completed due to other agency priorities.

Discussion: Congress created the NCPC to serve as the central planning agency for the unique concentration of federal activities and interests in the National Capital Region (NCR). One of NCPC's principal responsibilities is to coordinate development activities of federal and District of Columbia agencies in the NCR. The submission guidelines detail the process for submitting proposals and plans for Commission review and action.

A new unified submission guidelines structure has been established which will make it easier for applicant's to navigate rather than having to refer to multiple documents. Work on updating the language of the guidelines to eliminate redundancy, improve clarity, and reflect current submission content requirements and formats continues. As part of this effort, NCPC commenced work on updating NCPC's NEPA categorical exclusions. This is being done in response to a recommendation by the White House Council on Environmental Quality which encourages federal agencies to periodically evaluate their existing NEPA regulations, including categorical exclusions, to determine if an update is warranted.

Performance Goal FY14.2.B.1: *Review and analyze capital programs for federal agencies in the NCR.*

- Performance Indicator: Fiscal Year 2015 to 2020 FCIP report.
- Target: Completed report and submission to the Office of Management and Budget.
- Time Period: By October 31, 2014.

Results: The FCIP was completed and submitted to OMB on September 18, 2014. NCPC submitted its FY 2014 to FY 2019 FCIP to OMB on November 20, 2013. The delay in submission of the FY 2014 to FY 2019 FCIP was caused by the government shutdown.

Discussion: During FY 2014, NCPC made further refinements to the Federal Capital Improvements Program's evaluation process, project scoring methodology, staffing review process, and publication release timeline to improve the effectiveness of the agency's capital project review, facilitated use of staff recommendations by OMB examiners, and conformed to the President's budget guidance.

In 2013, the Commission completed a comprehensive assessment of the FCIP to improve its overall management and administration. As a result of the assessment, NCPC developed a new evaluation structure. Using a scorecard system, each project is evaluated against the Federal Elements in the Comprehensive Plan. The resultant evaluation provides a clear and succinct explanation of the scored projects, thus benefitting the submitting agency and OMB, as well as local and regional stakeholders who have a direct interest in the project.

Performance Goal FY14.2.B.2: *Review and analyze capital improvement programs (CIPs) for non-federal jurisdictions in the NCR.*

- Performance Indicator: 2014 CIP comment letters.
- Target: Comment letter submitted to respective jurisdictions.
- Time Period: Within 35 days of receipt of document.

Results: NCPC reviewed and commented on several capital budgets and CIP's for jurisdictions in the NCR to include the following:

- Montgomery County CIP
- Prince George's County CIP
- Prince William County Virginia Proposed FY2015 CIP
- City of Alexandria, Virginia Proposed FY2015 CIP
- County of Fairfax, Virginia FY 2015-2019 Advertised CIP
- Loudoun County's CIP in the Proposed FY 2015 Fiscal Plan.
- District of Columbia CIP (FY 2015 - 2020)

Discussion: As part of its authority to guide the long-range development of the National Capital Region (NCR), NCPC reviews CIPs prepared by the primary county and city jurisdictions within

the region. NCPC identifies whether the plans will create adverse impacts on a federal interest and notifies the respective jurisdiction during the plan's public comment period.

Strategic Goal #3:

NCPC will inform the public of its activities, encourage citizens to become involved in the planning process, and participate in broader professional discussions at local, national, and international levels.

Performance Goal FY14.3.A.1: *Complete the Fiscal Year 2013 Annual Report.*

- Performance Indicator: Fiscal Year 2013 Annual Report.
- Target: Completed and published document.
- Time Period: By the end of First Quarter of Fiscal Year 2014.

Result: Published the 2013 Annual Report, February 2014.

Discussion: NCPC published its annual report, *2013 Year in Review*. The report details NCPC's efforts over the past year to meet federal and local needs. It also highlights NCPC's progress on priority areas including the Form of the City and Sustainability. The annual report provides the public and stakeholders a one-stop document with information of the Commission's activities for the past fiscal year.

Performance Goal FY14.3.A.2: *Publish a monthly newsletter to inform and educate the public.*

- Performance Indicator: Monthly E-Newsletter.
- Target: Published Document.
- Time Period: By the end of each month.

Results: A Monthly E-Newsletter was published prior to the end of each month a Commission meeting was held.

Discussion: NCPC published an E-Newsletter each month a monthly Commission meeting was held to keep the public and stakeholders aware of its activities.

Performance Goal FY14.3.A.3: *Inform the public of the actions taken at Commission meetings.*

- Performance Indicator: Commission Actions published on the NCPC website.
- Target: Three business days after Commission meetings.
- Time Period: By the 15th of each month where a Commission Meeting is held.

Results: All Commission actions were posted on the agency website no later than the Monday following the Commission meeting.

Discussion: Commission actions are usually posted on the agency website the next business day after the Commission meeting. Commission actions dating back to 2003 can be found on the website under Commission Actions Archive. These actions are regularly used by applicant agencies and members of the public.

Performance Goal FY14.3.A.4: *Inform the public of the details of plans or studies completed by NCPC.*

- Performance Indicator: Published documents on the NCPC public website.
- Target: Five business days after acceptance.
- Time Period: Ten days after acceptance by the Commission during Fiscal Year 2014.

Results: The SW Ecodistrict Addendum was accepted by the Commission at its September meeting and posted on the website on September 5, 2014.

Discussion: The SW Ecodistrict Plan was accepted by the National Capital Planning Commission in January of 2013. It is a comprehensive and forward- looking approach to transforming an isolated federal precinct in the capital city into a highly sustainable workplace and livable neighborhood. Since the plan's acceptance, NCPC in consultation with members of the SW Ecodistrict Task Force developed an Addendum. The purpose of the Addendum is to:

- Document the assumptions and technical information that informed the development of the SW Ecodistrict Plan.
- Add clarity to the key public realm and development objectives of the SW Ecodistrict Plan.
- Provide urban design principles that:
 - Ensure new development is compatible with the monumental core of the nation's capital.
 - Bridge the national and city interests as the area redevelops
 - Extend the civic character of the National Mall to Banneker Park.-Create a site for a new museum or cultural use at Banneker Park.
 - Preserve the importance of Maryland, Virginia, and Independence Avenues and 10th Street as significant elements of the monumental core and the nation's capital.

The SW Ecodistrict Task Force will use the Addendum to inform future planning/redevelopment for the SW Ecodistrict study area; foster a cohesive character as new development occurs over time; provide predictability and continuity for development in the area; inform the development and implementation of the Smithsonian Master Plans; and inform review of planning and development proposals.

Performance Goal FY14.3.A.5: *Respond to a variety of informational requests from Congress, federal, state and local agencies, and the general public and a variety of new organizations.*

- Performance Indicator: Inquiry Responses.
- Target: Ten business days from the date of submission.
- Time Period: Respond to each request within 15 business days.

Result: Completed the Height Master Plan.

Discussion: After a year-long study, the NCPC and the District of Columbia Office of Planning (DCOP) completed the *Height Master Plan* which explored the impact of strategic changes to the Height of Buildings Act of 1910. NCPC and DCOP jointly conducted this study at the request of the US House of Representatives Committee on Oversight & Government Reform.

NCPC hosted a public international panel discussion; drafted background materials, including case studies; identified policy and urban design federal interest issues; convened several federal stakeholder working groups; held a special Commission meeting; and prepared draft recommendations that were presented to the Commission for approval in November of 2013. With the assistance of NCPC, Congress passed legislation largely reflecting NCPC's final recommendations. The President signed this legislation into law.

With the President signing the bill into law, the Federal Government reaffirms its commitment to ensuring that the image and experience of the capital city reflects the preeminence of our democratic institutions, now and into the future. These actions fulfill the early planning vision for a magnificent capital city, as set forth by our nation's founding fathers. This law further ensures that the public will continue to enjoy views of US national treasures in the District of Columbia while simultaneously providing the local government with a method to increase its tax revenues.

Performance Goal FY14.3.A.6: *Establish a 6-month outreach schedule.*

- Performance Indicator: Six-month outreach schedule.
- Target: Updated 6-month outreach schedule.
- Time Period: By the end of Second Quarter Fiscal Year 2014.

Result: Outreach schedule established.

Discussion: In 2014 the agency outreach schedule focused on the following major initiatives:

Height Master Plan: NCPC coordinated the public outreach and formal public comment efforts, including website and social media updates, meeting streaming and video production, and notification. The agency was widely praised for the depth, clarity, and openness of its outreach efforts and materials for this highly visible and controversial topic.

SW Ecodistrict: As the SW Ecodistrict Initiative moved from plan completion to implementation, coordinated the release of the plan addendum, including document review, public notices, and outreach; supported the Target Cities project release, including press activities and web updates;

created a full update of the initiatives' webpage; created a new overview video; and coordinated several successful presentation proposals and award applications.

Sustainability and Climate Adaptation: NCPC created video archives of several climate adaptation workshops, edited a multi-agency report, and revised the sustainability webpage to reflect new information, including the annual agency sustainability report.

Pennsylvania Avenue: NCPC developed a new webpage, videos, and outreach materials, and led a high-profile Speaker Series event to launch the initiative.

Comprehensive Plan: NCPC developed and coordinated an outreach strategy for the draft Urban Design Element which included public and focus group meetings, an updated webpage; and online public notices.

Performance Goal FY14.3.B.1: *Coordinate events that encourage professional exchanges.*

- Performance Indicator: Speaker Series.
- Target: Up to 3 completed events.
- Time Period: By the end of the Fiscal Year 2014.

Result: Four completed Speaker Series.

Discussion: To keep the public up to date on the initiatives of NCPC and its partners, NCPC continued its Speaker Series in 2014. These events have attracted high caliber speakers and near capacity crowds. The four Speaker Series included:

- Planning Law & Order: Examined the variety of unique and complex legal planning issues faced by regional planners.
- Talk vs. Action: A panel discussion regarding perspectives on successful public engagement that results in action, considering technology, time, demographics and politics.
- Washington's Civil War Forts and Parks: Explored the history of the Fort Circle Parks and their role in Washington today as parks and cultural resources.
- Pennsylvania Avenue Kickoff: A panel discussion of the Avenue's evolving and enduring character in the 21st century.

Additionally, NCPC hosted foreign, academic, and other delegations for presentations and tours. This included delegations from Canada, South America, China, and Sweden.

Strategic Goal #4:

NCPC will build consensus and facilitate cooperation among citizens, public interest groups, nongovernmental organizations, and governmental agencies to shepherd plans and policies toward fruition.

Performance Goal FY14.4.A.1: *Coordinate with the Department of State and the District of Columbia on the master planning efforts to transform the Walter Reed Army Medical Campus into a site that accommodates future international chanceries and a sustainable mixed-use community.*

- Performance Indicator: Master plan to transform the Walter Reed Army Medical Center Campus into a site that accommodates future international chanceries and a sustainable mixed-use community.
- Target: Phase 1 completed in Fiscal Year 2014
- Time Period: By end of Fiscal Year 2014

Result: Phase 1 of master plan work completed.

Discussion: In fulfillment of phase 1, NCPC consulted with the Department of State (DOS) on the development of the Foreign Missions Center (FMC) at the former Walter Reed Army Medical Campus. Within these consultations, NCPC continues to provide guidance in the development of a concept plan and associated design guidelines for the FMC, and on the development of a draft Environmental Impact Statement as required by the National Environmental Policy Act. Staff also continues to represent NCPC as a consulting party within the DOS's ongoing Section 106 review, in conformance with the National Historic Preservation Act. NCPC informally consulted with members of the public on the FMC plan when attending DOS public meetings in February and March, 2014, and continues to collaborate with District of Columbia agencies on the development of the concept plan.

Formal review by the Commission of the master plan at the concept level is anticipated for mid-Fiscal Year 2015.

Performance Goal FY14.4.B.1: *Partner with federal and local agencies to prepare a Monumental Core Stormwater infrastructure needs assessment study.*

- Performance Indicator: Monumental Core Stormwater infrastructure needs study.
- Target: Completed document.
- Time Period: By the end of Fiscal Year 2014.

Result: Completed the findings of the Federal Stormwater Study and Flood-proofing and established the Monumental Core Adaptation Working Group.

Discussion: In 2014 NCPC accomplished the following:

- Partnered with the National Aeronautics and Space Administration, the General Services Administration, the Metropolitan Washington Council of Governments, the US Global Change Research Program and the Smithsonian Institution to offer several training sessions in climate adaptation vulnerability assessments for National Capital Region's built systems, natural resources, workforce, and overall community, including the economy.

- Encouraged federal agencies in the NCR to conduct vulnerability assessments of near-term and long-term climate impacts to their mission, operations and assets, through the “Building a Climate Resilient National Capital Region” workshop series. The results provided the federal agencies with an understanding of their shared vulnerabilities and opportunities for future coordination and leveraging resources.
- Provided the Government of the District of Columbia with baseline climate data and initial climate change assessments for the District’s climate adaptation plan. NCPC served as a technical advisor to the District Department of the Environment in its adaptation planning.
- Helped to establish NASA’s climate data for the Washington, DC region as the standard for climate change assessments in the NCR.
- Refined and improved NCPC’s 2014 climate adaptation plan based on increased understanding of the NCR’s climate change vulnerabilities.
- Provided substantive input to other federal agencies on several climate adaptation studies and projects.
- Represented the federal government and contributed to the body of knowledge at several professional conferences, forums and interagency working groups in climate adaptation and community resiliency.

NCPC will use the findings from the year’s work to inform policies in land use, transportation, the federal workforce, the natural environment and federal historic resources, many of which are national treasures. The information and the findings from this effort will also help inform individual climate adaptation implementation plans of federal agencies, as well as the other climate adaptation activities in the Region.

Strategic Goal #5:

To respond to the changing planning needs of the National Capital Region, NCPC will embrace the best practices for the continual development of its human resources, work processes, and technology.

Performance Goal FY14.5.A.1: *Prepare the annual training plan that addresses critical skill gaps in NCPC’s workforce and prioritizes NCPC’s training requirements to meet agency mission priorities.*

- Performance Indicator: Fiscal Year 2014 Training Plan.
- Target: Completed Document.
- Time Period: By the end of Fiscal Year 2014.

Result: Completed NCPC 2014 Annual Training Plan.

Discussion: The Annual Training Plan provides a comprehensive view of the training essential to building and maintaining a cadre of employees with the competencies to achieve the agency's mission. The plan identifies both paid and unpaid training. Due to limited discretionary funds, the training budget does not support all training identified by the managers and their employees. Training is prioritized by organizational needs and the Individual Development Plans. All priority training was provided to NCPC staff.

Performance Goal FY14.5.A.2: *Develop the NCPC 2015-2018 Strategic Plan.*

- Performance Indicator: 2015-2018 Strategic Plan.
- Target: Completed Strategic Plan.
- Time Period: By the end of Fiscal Year 2014.

Result: The NCPC 2015-2018 Strategic Plan was completed September 2014.

Discussion: NCPC's 2015-2018 Strategic Plan, sets forth the goals that will chart the Agency's course over the next four years and the strategies that will be used to gauge its success. NCPC is guided by a clear vision of an inspiring and livable world capital that meets the needs of the federal government, enriches the lives of all who encounter it, and reflects the enduring values of the American people.

The Agency will advance this long-range vision on a daily basis through the ongoing fulfillment of its mission. NCPC will: craft comprehensive, long-range plans and provide solutions to emerging planning issues; continue its thorough and timely review of monthly project submission and annual capital investment proposals; enhance the quality of its planning and policymaking; and seek public input and build the necessary support to guide plans toward fruition.

The plan identifies opportunities for improving our current efforts. NCPC will work with federal and local partners to address how to use federal land and facilities more efficiently and sustainability; integrate the federal and local activities of the city; improve the parks, green spaces, and public amenities throughout the capital; and revitalize federal lands around the National Mall.

Performance Goal FY14.5.A.4: *Maintain NCPC's Succession Plan*

- Performance Indicator: NCPC's Succession Plan
- Target: Completed Succession Plan.
- Time: By end of Fiscal Year 2014.

Result: Revised NCPC's Succession Plan

Discussion: NCPC's succession plan is a systematic approach to ensuring that qualified candidates are available to fill vacancies in a minimal amount of time. The achievement of this goal is based on funding and staffing levels remaining stable and minimal changes by external forces and/or agency priorities. As the number of employees eligible for retirement

risers, it is important to have a plan in place to ensure that there is a pool of qualified internal applicants ready to be considered for key leadership positions.

Performance Goal FY14.5.A.5: *Advance and improve workforce diversity at NCPC.*

- Performance Indicator: Annual Diversity Training.
- Target: Completed Training.
- Time Period: By the end of Fiscal Year 2014.

Result: Diversity Luncheon / Training.

Discussion: NCPC hosted its annual diversity luncheon and training on June 25, 2014.

NCPC endeavors to employ talented and diverse women and men to help meet its mission and goals. NCPC's workforce includes highly qualified individuals with backgrounds and cultures and traditions that reflect our Nation's rich diversity. NCPC embraced the diverse cultural and demographic dimensions of its staff through its diversity luncheon/training.

Performance Goal FY14.5.B.1: *Maintain accurate financial records, establish and maintain effective financial management internal controls, and prepare agency financial statements in accordance with U.S. Federal Government policies and regulations.*

- Performance Indicator: Fiscal Year 2013 Financial Statement Audit.
- Target: Clean Opinion.
- Time Period: By the end of 1st Quarter of Fiscal Year 2014.

Result: Clean audit opinion for FY 2013.

Discussion: The independent financial statement audit report concluded that NCPC's financial statements were presented fairly, in all material respects, the financial position of the National Capital Planning Commission as of September 30, 2013 and 2012, and its net costs, changes in net position, and budgetary resources for the years then ended in conformity with U.S. generally accepted accounting principles.

Performance Goal FY14.5.B.2: *Develop an action plan to address all recommended actions and process improvements identified in the Fiscal Year 2013 financial audit opinion.*

- Performance Indicator: Auditor-Recommended Financial Management Improvements Action Plan.
- Target: Completed Document.
- Time Period: By the end of 1st Quarter of Fiscal Year 2014.

Result: NCPC received a clean audit opinion and there were no auditor-recommended process improvements identified.

Performance Goal FY14.5.B.3: *Review and update financial management Standard Operating Procedures (SOPs).*

- Performance Indicator: Financial Management Standard Operating Procedures.
- Target: Completed Standard Operating Procedures.
- Time Period: By the end of Fiscal Year 2014.

Result: Updated two Financial Management SOPs.

Discussion: NCPC updated its SOP related to the Preparation and Approval of Non-Intragovernmental Payment Batches and Submission to the United States Department of Treasury Secure Payment System (SPS). This SOP provides detailed instructions on how to prepare and approve Payment Batches in FreeBalance Financials (FreeBalance), and how to submit the Payment Batches to SPS for certification and disbursement.

Additionally, NCPC updated its SOP related to Closing the Prior Fiscal Year and Opening the New Fiscal Year. This Standard Operating Procedure provides detailed instructions on how to: 1) execute the FreeBalance processes to close the prior Fiscal Year and open the new Fiscal Year; 2) roll-over budget authority to the next Fiscal Year; and 3) adjust the beginning balance of Standard General Ledger (SGL) accounts unique to United States (U.S.) Federal government accounting.

Performance Goal FY14.5.B.4: *(goal replaced) Review and update NCPC's Acquisition Policies and Procedures.*

Performance Goals FY14.5.B.4: *(new goal) Develop new Asset Management Inventory Control and Audit Procedures.*

Performance Indicator: Asset Management Inventory Control and Audit Procedures.

Target: Completed Document.

Time Period: By the end of Fiscal Year 2014.

Result: NCPC's Asset Management Inventory Control and Audit Procedures completed.

Discussion: NCPC's Asset Management and Inventory Control and Audit Procedures provide detailed instructions on how to track and maintain NCPC's equipment records. These procedures facilitate the implementation of NCPC's policy to conduct an annual physical inventory of both on-site and off-site Property, Plant & Equipment (PP&E) with a value greater than or equal to \$500, the threshold for recording PP&E in the agency's inventory tracking tool.

Performance Goal FY14.5.C.1: *Convert NCPC's personnel records to electronic format.*

Performance Indicator: Convert OPFs to an electronic format.

Target: Fifty percent of personnel records inventory.

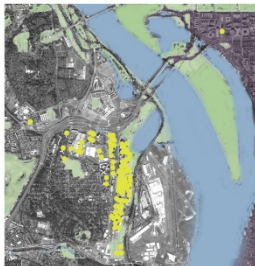
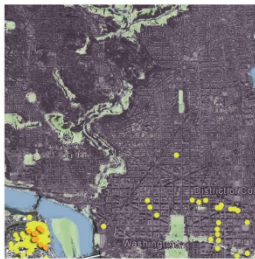
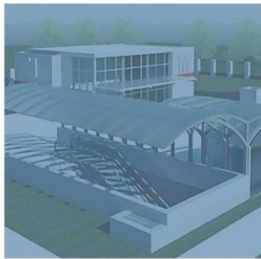
Time Period: By the end of Fiscal Year 2014.

Result: NCPC consulted with OPM to initiate the OPF conversion to the electronic format. The cost was prohibitive.

Discussion: In the coming year, NCPC will consult with other agencies to determine if it can establish a partnership to convert its OPFs at a reduced cost.

Appendices

2016



NATIONAL CAPITAL PLANNING COMMISSION

ANALYSIS OF FY 2016 BUDGET REQUEST

This table provides a summary of the major differences between the FY 2016 Budget Request and the FY 2015 Appropriation.

Labor Costs		\$000
	FY 2016 WGI & COLA ¹	88
	Personnel Compensation	93
	Labor Cost Subtotal	181
Non Labor Costs		\$000
	Program Support	213
	Leased Space	6
	Non-Labor Cost Subtotal	219
Total Budget Change		400

¹ WGI: Within-Grade Increases
COLA: Cost-of-Living Adjustment

MAJOR CHANGES IN FISCAL YEAR 2016

LABOR COSTS

This table provides a summary of the labor changes by NCPC's Strategic Goal and Strategic Objectives.

		FTE	COLA & WGIs \$000	Personnel Compensation \$000	Total Pay Factors \$000
Foster a vibrant, well-planned National Capital					
1A	Create, maintain, and advance policies and plans that respond to emerging planning issues	-	42	123	165
Sub Total		-	42	123	165
Ensure that individual projects and plans within the NCR are consistent with federal interests.					
2A	Review Federal Projects and Plans	-	17	57	74
2B	Review State, District and Local Plans	1	11	21	32
Sub Total		1	28	79	106
Advance the Orderly Federal Capital Improvement of the NCR					
3A	Analyze and recommend proposed capital improvement projects in consultation with stakeholders	(1)	3	(54)	(51)
Sub Total		(1)	3	(54)	(51)
Ensure effective management and accountability of agency resources					
4A	Recruit, retain, and develop a highly skilled, motivated and diverse workforce	-	7	(48)	(41)
4B	Ensure sound financial performance	-	1	(38)	(37)
4C	Establish a cohesive IT architecture	-	5	60	65
4D	Strengthen performance evaluation program	-	-	(27)	(27)
Sub Total		-	14	(54)	(40)
NCPC Total Change to General Salary		-	88	93	181

MAJOR CHANGES IN FISCAL YEAR 2016

PROGRAM SUPPORT

The major changes to program support are detailed in the table below by Strategic Goal and Objective.

Program Support		\$000
1	Foster a vibrant, well-planned National Capital	
	1A Create, maintain, and advance policies and plans that respond to emerging planning issues	194
	Sub Total	194
2	Ensure that individual projects and plans within the NCR are consistent with federal interests.	
	2A Review Federal Projects and Plans	(1)
	2B Review State, District and Local Plans	3
	Sub Total	2
3	Advance the Orderly Federal Capital Improvement of the NCR	
	3A Analyze and recommend proposed capital improvement projects in consultation with stakeholders	11
	Sub Total	11
4	Ensure effective management and accountability of agency resources	
	4A Recruit, retain, and develop a highly skilled, motivated and diverse workforce	(17)
	4B Ensure sound financial performance	(1)
	4C Establish a cohesive IT architecture	19
	4D Strengthen performance evaluation program	5
	Sub Total	6
Net Change to Program Support		213

MAJOR CHANGES IN FISCAL YEAR 2016

LEASED SPACE

The major changes to leased space are detailed in the table below by Strategic Goal and Objective.

Leased Space		\$000
1	Foster a vibrant, well-planned National Capital	
	1A Create, maintain, and advance policies and plans that respond to emerging planning issues	3
	Sub Total	3
2	Ensure that individual projects and plans within the NCR are consistent with federal interests.	
	2A Review Federal Projects and Plans	1
	2B Review State, District and Local Plans	1
	Sub Total	2
3	Advance the Orderly Federal Capital Improvement of the NCR	
	3A Analyze and recommend proposed capital improvement projects in consultation with stakeholders	-
	Sub Total	-
4	Ensure effective management and accountability of agency resources	
	4A Recruit, retain, and develop a highly skilled, motivated and diverse workforce	1
	4B Ensure sound financial performance	-
	4C Establish a cohesive IT architecture	-
	4D Strengthen performance evaluation program	-
	Sub Total	1
Net Change to Leased Space		6

Summary of Budget Estimate by Strategic Goals and Objectives

Strategic Objective		FY 2014		FY 2015		FY 2016		Analysis of Changes			Overall	
		Total Labor & Non Labor		Total Labor & Non Labor		Total Labor & Non Labor		Labor	Non Labor	Non Labor		
		FTE	\$000	FTE	\$000	FTE	\$000	Salary & Benefits	Program Support	Leased Space	FTE	\$000
1	Foster a vibrant, well-planned National Capital											
	1A Create, maintain, and advance policies and plans that respond to emerging planning issues	17	3,493	17	3,311	17	3,673	165	194	3	-	362
	Sub Total	17	3,493	17	3,311	17	3,673	165	194	3	-	362
2	Ensure that individual projects and plans within the NCR are consistent with federal interests.											
	2A Review Federal Projects and Plans	8	1,356	7	1,287	7	1,361	74	(1)	1	-	74
	2B Review State, District and Local Plans	5	827	4	786	5	822	32	3	1	1	36
	Sub Total	13	2,183	11	2,073	12	2,183	106	2	2	1	110
3	Advance the Orderly Federal Capital Improvement of the NCR											
	3A Analyze and recommend proposed capital improvement projects in consultation with stakeholders	3	311	2	286	1	247	(51)	11	-	(1)	(39)
	Sub Total	3	311	2	286	1	247	(51)	11	-	(1)	(39)
4	Ensure effective management and accountability of agency resources											
	4A Recruit, retain, and develop a highly skilled, motivated and diverse workforce	5	740	4	704	4	647	(41)	(17)	1	-	(57)
	4B Ensure sound financial performance	1	547	1	547	1	509	(37)	1	-	-	(38)
	4C Establish a cohesive IT architecture	2	746	2	965	2	1,050	65	19	-	-	84
	4D Strengthen performance evaluation program	-	64	-	60	-	39	(27)	5	-	-	(22)
	Sub Total	8	2,097	7	2,276	7	2,245	(40)	6	1	-	(33)
Total, National Capital Planning Commission:		41	8,084	37	7,948	37	8,348	181	213	6	-	400

NATIONAL CAPITAL PLANNING COMMISSION

COMPARITIVE SPENDING ANALYSIS BY OBJECT CLASSIFICATION

APPROPRIATED FUNDS (IN THOUSANDS)

Budget Account 95-2500-01		2014 Actual Budget	2015 Appropriation	2016 Budget Request
Direct Obligations				
Personnel Compensation				
11.1	Full-Time Permanent	\$4,199	\$3,839	\$3,949
11.3	Other than Full-Time Permanent	\$59	\$58	\$60
11.5	Other Personnel Compensation	\$0	\$18	\$58
11.9	Total Personnel Compensation	\$4,258	\$3,915	\$4,067
12.1	Personnel Benefits	\$1,265	\$1,236	\$1,265
Program Support				
21.0	Travel & Transportation	\$29	\$24	\$24
23.1	Rental Payments to GSA	\$1,495	\$1,512	\$1,518
23.3	Communications, utilities & misc.	\$211	\$205	\$213
24.0	Printing & Reproduction	\$6	\$11	\$9
25.1	Advisory & Assistance Services	\$586	\$657	\$878
25.2	Training and Misc. Expenses	\$56	\$34	\$35
25.3	Government Services	\$39	\$71	\$73
25.4	Facilities Maintenance	\$47	\$42	\$47
25.7	Machine Maintenance	\$49	\$98	\$101
26.0	Supplies (General/ADP)	\$23	\$24	\$17
31.0	ADP Hardware / Software	\$21	\$119	\$101
32.0	Fixed Equipment/Space Alterations	\$0	\$0	\$0
	Sub-Total Other Expenses	\$2,561	\$2,797	\$3,016
99	Total Obligations	\$8,084	\$7,948	\$8,348
Full-Time Equivalent Employment:				
		41	37	37

NATIONAL CAPITAL PLANNING COMMISSION

DETAIL OF PERMANENT POSITIONS

SALARIES AND EXPENSES

**Budget Account
95-2500-01**

	2014 Actual	2015 Enacted	2016 Estimate
Direct Obligations			
Executive Schedule (EX)	1	1	1
Senior Executive Service (SES)			
SES	2	2	2
Sub-Total of FTE's	3	3	3
General Service (GS)			
GS-15	6	6	6
GS-14	5	5	4
GS-13	11	11	13
GS-12	4	4	6
GS-11	2	5	1
GS-10	0	0	0
GS-9	1	1	2
GS-8	0	0	0
GS-7	1	1	1
GS-6	0	0	0
GS-5	1	1	1
GS-4	0	0	0
GS-3	0	0	0
GS-2	0	0	0
Sub-Total of FTE's	31	34	34
Total Permanent Positions	34	37	37
Unfilled Positions at End of Year	(7)	-	-
Total Permanent Employment at End of Year	41	37	37

NATIONAL CAPITAL PLANNING COMMISSION

STATUS OF PERTINENT AUTHORIZATION

The authorization for the present account (31-28-2500-0-1-451) is contained in the National Capital Planning Act of 1952, as amended (40 USC §8701 et seq). There are no estimates herein contained that exceed any limitations placed on the Commission by Committee Reports for the preceding fiscal year.

APPROPRIATION LANGUAGE

Salaries and Expenses

For necessary expenses of the National Capital Planning Commission under chapter 87 of title 40, United States Code, including services as authorized by 5 U.S.C. 3109, \$8,348,000:

Provided, That one-quarter of 1 percent of the funds provided under this heading may be used for official reception and representational expenses associated with hosting international visitors engaged in planning and physical development of world capitals.

(Department of the Interior, Environment, and Related Agencies Appropriations Act, 2016)

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